

# The Big Compost Experiment: using citizen science to assess the impact and effectiveness of biodegradable and compostable plastics in UK home composting.

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- 12 Abstract
- 13 Compostable and biodegradable plastics are growing in popularity but their environmental
- credentials need to be more fully assessed to determine how they can be a part of the solution to the
- plastic waste crisis. We present results and analysis on home compostable packaging. This type of
- packaging requires the citizen to able to correctly identify the packaging as 'home compostable', to
- have composting facilities at home, and to successfully compost the plastic. Using a citizen science
- approach, we engaged with 9701 UK citizens geographically spread across the UK to examine their
- capability, opportunity, and motivation to do this. Of this cohort 1648 citizens performed home
- 20 compost experiments to test the environmental performance of compostable plastics. We report on
- 21 the type of plastics they tested and their disintegration under real home composting conditions. The
- results show that the public are confused about the meaning of the labels of compostable and
- biodegradable plastics. 14% of sampled plastic packaging items tested were certified 'industrial
- compostable' only and 46% had no compostable certification. Of the biodegradable and compostable
- 25 plastics tested under different home composting conditions, the majority did not fully disintegrate,
- plastics tested under different nome composting conditions, the majority did not furly disintegrate
- 26 including 60% of those that were certified 'home compostable'. We conclude that for both of these
- 27 reasons, home composting is not an effective or environmentally beneficial waste processing method
- 28 for biodegradable or compostable packaging in the UK.

## 1 Introduction

- 30 In response to the plastic waste crisis countries all round the world have set targets to make plastic
- 31 packaging 100% recyclable, reusable, or compostable, and to eliminate all unnecessary single-use
- packaging by 2025 [1]. Including compostable plastics in the targets was important for two reasons:
- firstly, there are some items such as food packaging, wipes, tea bags, sachets, that being small and
- 34 highly food contaminated are not suited to recycling or reuse; secondly, food waste is of major
- environmental importance and compostable liners can play an important role in the route out of the
- 36 home. But there are some fundamental problems. Although the processing of compostable and
- 37 biodegradable plastics is regulated under industrial organic waste management processes, the
- existence of systems of collection and industrial composting for this packaging are rare in the UK.
- 39 Compostable and biodegradable plastics are currently incompatible with most anaerobic digestion
- 40 (AD) systems and recycling systems [2]. Hence their fate is either landfill or incineration. Disposal of

compostable packaging in landfill is not environmentally beneficial, however under certain instances where incineration processes use energy from waste recovery incinerating compostable packaging may offer some benefit in overall emissions reductions [2]. The typical fate of landfill or incineration is not usually communicated to customers so the environmental claims made for compostable and biodegradable packaging can be misleading [2].

The current bioplastics market share is relatively small at 1% of the total 335 million tonnes of plastics produced globally, the global production capacity for biodegradable plastics is set to increase from around 0.91 million tonnes in 2018 to around 1.3 million tonnes in 2023 [3] but it could be much greater if small item formats such as snack packets and chocolate wrappers [4] are switched to compostable plastics. Manufacturers of compostable plastics and the companies using them are aware of the lack of infrastructure for sorting and collecting these plastics but counter them through a number of arguments. Firstly, that the public are in favour of biodegradable packaging; secondly the organic waste collection initiatives in the EU's Waste Framework Directive and UK Government's Environment Bill will increase capacity of the organic waste processing systems and closed loop systems that can handle these plastics, and thirdly by designing biodegradable packaging designed to compost at home. In this research we used a citizen science approach to test these arguments by: (1) assessing the public's attitudes and understanding of compostable and biodegradable materials; and (2) inviting citizens to carry out experiments to test the effectiveness of home composting as a means to biodegrade compostable plastics.

## 1.1 Composting processes

Understanding product performance and user behaviour is vital to the success of circular economy models of material and product use [5]. Several studies have been carried out in the field of user behaviour in relation to biobased and biodegradable packaging [6-7], home composting practices [8-9] and the performance of compostable plastics under home composting conditions [10-11]. To our knowledge this is the first citizen science study combining large-scale collection of data on home compostable plastic use and behaviour, and disintegration performance of home compostable plastics in the UK.

 Biodegradability refers to the capability of being degraded by biological activity [12]. Many materials are biodegradable such as paper, cardboard, wood, and certain types of plastic. The word biodegradable does not describe under what conditions and how long a plastic will take to biodegrade. The term 'compostable plastic' is more specific, it describes a material that is capable of undergoing biological degradation in a compost site at a rate consistent with other known compostable materials, leaving no visibly distinguishable or toxic residues [13].

There are two types of composting environment for which compostable plastics are designed, industrial composting and home composting. Industrial composting is a controlled biotechnological process for transforming biodegradable organic waste into compost, a resource used in agriculture to improve soil [14]. Depending on the process, industrial composting facilities are designed to undertake aerobic composting or anaerobic digestion (biogasification). In aerobic composting, microorganisms consume oxygen while breaking down organic waste to produce CO<sub>2</sub>, water, compost, and heat. In anaerobic digestion, bacteria degrade the organic waste in the absence of oxygen, producing biogas (methane and CO<sub>2</sub>) and digestate [15]. The two different processes are performed in different facilities. Industrial composting (IC) facilities digest garden and green waste under aerobic methods, whilst anaerobic digestors (AD) normally deal with food waste [16].

Typically AD facilities are not optimised to take compostable plastics which are generally removed even at low volumes [17].

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Home composting is a general term for the process by which biodegradable garden waste or domestic food waste is collected and placed in either a container or heap to allow natural processes to turn it into compost. It is a manual process whereby the composition and process temperatures remain largely unregulated. Both aerobic and anaerobic conditions can occur in home composting, although aerobic conditions are more normal. The time frame for home composting depends on personal preference and the use to which the compost is put, but 3-12 months is typical. The process of microbial biodegradation in soil can vary according to location and season due to variation in uncontrolled parameters influenced by geographical location such as temperature, water content, chemical composition and pH [18]. Little is known about the variation of microbial biodegradation processes in home compost environments.

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Industrial composting has a different legal status to home composting. Under Directive 2008/98/EC (2008) and UK Government's Compost Quality Protocol organic waste segregated at source can obtain legal 'end-of-waste' status if the resulting compost complies with specific conditions such as those carried out under authorized industrial composting systems and is considered to be a recycling process. Home composting activities do not comply with these conditions therefore organic waste and compostable packaging processed in this way cannot achieve 'end-of-waste' status and is not considered to be a recycling process. However, home composting is encouraged by Article 22 (2b) of Directive 2008/98/EC as it can help minimise the volume of organic waste entering the waste management system. Compostable packaging which complies with relevant European standards (EN 13432 or BS EN 13432 in the UK) or equivalent national standards for packaging recoverable through composting and biodegradation, can be collected separately and processed together with bio-

# 113 1.2 Compostable plastics standards

waste under Article 22 of Directive 2008/98/EC.

- 114 The European Directive 94/62/EC (Packaging and Packaging Waste) 1994 sets out the EU's rules on
- managing packaging and packaging waste, including the recovery of biodegradable packaging waste
- with bio-waste (i.e. food or garden waste) by means of organic recycling (e.g. composting). The
- Packaging (Essential Requirements) Regulations 1998 implements these legal principles in the UK.
- Biodegradation testing standards (ISO and ASTM) have been designed to determine the
- biodegradability of plastics in soil, compost, landfill, marine, or other aquatic environments [18-19].
- EN 13432:2000 (or BS EN 13432:2000 in the UK) is a harmonised EU standard for compostable and
- biodegradable packaging that defines the criteria that must be met for a material to be suitable for
- commercial industrial composting [20]. Similar requirements for non-packaging plastic items are
- specified by the European standard EN 14995. Under EN 13432:2000 (1) Test material (packaging
- and organic waste) has to show disintegration and loss of visibility in the final compost; (2) after
- three months, no more than 10% of the initial weight of the test material should be retained after sieving it through 2mm mesh size; (3) Within a maximum of six months, 90% of the carbon in the
- test material must be converted to CO<sub>2</sub>, having the same rate of biodegradation as natural materials;
- 128 (4) The test material must have no negative effects on the composting process and no adverse effect
- on the quality of the compost produced, including the heavy metals content.

- Although there is currently no harmonised international or European standard for compostable or biodegradable plastics suitable for home composting, the following national regulations, standards,
- and certifications exist: UNI 11183 (Italy), AS 5810 (Australia), NT T 51-800 (France), and OK

- 134 Compost (Belgium) [21]. In the UK, the Publicly Available Specifications PAS100 and PAS110
- provide a baseline quality and safety specification for compost and digestate respectively [22].

- Organic waste management and composting in the UK is regulated and enforced by the Environment
- 138 Agency (England and Wales) and the Scottish Environment Protection Agency and Northern Ireland
- 139 Environment Agency under the Environmental Permitting Regulations and Pollution Prevention
- 140 Control Regulations. These Regulations allow certain activities to be carried out under restrictions
- imposed through permits and registered exemptions to protect the environment and human health.
- The T23 exemption allows composting of small volumes (up to 80 tonnes) of vegetation, cardboard
- and food waste under aerobic composting conditions for use a soil improver or fertiliser undertaken
- in small scale scenarios such as schools, allotment associations and community composting groups.

## 1.3 Compostable plastic types & manufacture

- Main applications of compostable plastics today include food packaging films, protective
- transportation films, bags, cups, plates and cutlery, biowaste bags, and agricultural films and mulches
- [23-24]. Compostable plastics are manufactured using either fossil-based or bio-based feedstocks.
- 149 Commonly marketed bio-based compostable plastics include polylactic acid (PLA),
- polyhydroxyalkanoate (PHA), with the most prevalent being polyhydroxybutyrate (PHB), and
- polybutylenesuccinate (PBS), starch blends, and cellulose blends. Common fossil-based compostable
- plastics include polybutylenadipatterephthalat (PBAT) and polycaprolactone (PCL) [2].

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- 154 Key performance criteria for compostable plastic packaging relate to desired characteristics of the
- material (such as flexibility, strength, transparency, barrier properties) and the ability to biodegrade
- under different conditions of industrial composting or anaerobic digestion, and/or home composting.
- Biodegradability is not only a functional requirement but also an important environmental attribute
- 158 [23]. Antioxidants and stabilizers are added to protect polymers against mechano-oxidation during
- the processing operation and to provide the required shelf-life, and to improve performance.

## 1.4 Biodegradation timescales

- Timescale of biodegradation is a key consideration for compostable plastic use. For example, the
- majority of biodegradable plastics can technically degrade under AD conditions, however their
- degradation time is three to six times longer than the retention time in industrial AD plants [24],
- exceeding commercial processing timeframes. Compostable plastic degradation time must be
- 165 compatible with composting maturation timeframes and cycles of compost use in order to prevent the
- spread of plastic pollution in the environment [18]. Home composting certification scheme
- disintegration test timescales allow for longer periods of composting (up to 12 months) whereas
- industrial composting certificates are typically shorter.

## 1.5 Citizen science

- We used a citizen science method to collect data on attitudes to products packaged with compostable
- or biodegradable plastics, citizens' knowledge of how to correctly dispose of the packaging, and the
- effectiveness of home compostable plastics to biodegrade in a home compost. Our study, The Big
- 173 Compost Experiment, was designed with citizen science principles at its core [25]. The format
- 174 consists of a publicly accessible website (www.bigcompostexperiment.org.uk) containing a 5-minute
- online survey, and an optional home composting experiment facilitated through an online personal
- login facility. The website also contains additional educational information and links on

- 177 biodegradable plastics and composting, and a social media blog, to engage the public in the science
- 178 of biodegradable and compostable plastics.

#### 179 2 **Materials & Methods**

- Before participating, citizens are directed to website information relevant to consent: the project 180
- 181 aims, data protection, image guidelines, health and safety advice, where to direct questions or
- 182 complaints. Ethics Approval for the study was granted by the UCL Research Ethics
- 183 Committee (Project ID/Title: 16747/001: Big Compost Experiment) until 07 November 2022.

#### 2.1 Method Part 1 – Survey

- The survey begins with illustrated questions enquiring about opinions and behaviours surrounding 185
- 186 biodegradable plastics and food waste, see Figure 1. At this point a participant has the option to end
- participation in the survey and to submit their responses anonymously. If a participant chooses to 187
- 188 continue, they are provided with further illustrated questions enquiring about the type of composter
- 189 they use and their composting habits. At the end of the survey, a participant is given the option to end
- 190 participation and to submit their responses anonymously. At this point a participant is also given the
- 191 option to take part in a home composting experiment.

## Method Part 2 – Home composting experiment

- 193 Participants are offered the option of taking part in a home composting experiment by setting up a
- 194 user login account with which to share their experiment setup and to record their results, see Figure
- 195 2. The account setup asks for their contact email, information about their composter type and
- 196 postcode location (first three letters of their post-code, giving only general information about which
- 197 area they compost in) with the option to display this on a map of the UK (see Figure 3e), the method
- of composting and the usual time taken to make compost in their composter and what organisms live 198
- 199 in their composter. They are asked to select and log a range of biodegradable plastic items they wish
- 200 to test, and the rate at which the item degraded in their composter. Participants can select the type and
- 201 quantity of biodegradable plastic items from an illustrated list that they would like to test, such as
- 202 "cutlery", "cups", "shopping bags, and "newspaper wraps", see Figure 2. Participants are advised to
- 203 only test items that display the following manufacturer information:
- 204 205
- 206 'home biodegradable'
- 207 'home compostable'
- 'suitable for home composting' 208

'compostable' (only)

- 209 TUV OK Compost 'Home' certification mark
- 210 Din Certco 'Home Compostable' certification mark

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- 212 Participants can then submit details about their selected items and the length of time they wish to run
- 213 their experiment for, based on how long it usually takes them to make compost. Participants are
- 214 asked to put test items into a loosely woven non biodegradable net bag (i.e. a polypropylene net bag
- 215 for supermarket fruit) before adding them into their composter to help locate items at the end of the
- 216 experiment. Participants are also given the option to submit photographs of their home composter
- 217 and/or items with the option to display them on the public website gallery, see Figure 3(a-b). The Big
- 218 Compost Experiment website automatically logs this information to a database and is used to setup
- 219 an automated email reminder for participants to report their results at the end of their experiment.

## 2.3 Method Part 3 – Reporting

- 221 Participants are sent an email once their experiment is complete with a request to search for traces of
- their items in their composter. Experiment guidelines recommend using a household spade/trowel
- and sieve to look for the items in their compost and are advised that under 18s must be accompanied
- by a responsible adult. Participants are advised to collect any traces of their items they can find (if
- there are any), compare them with a 'Degradation Scale,' see Figure 3(d), and record any other useful
- observations about their items via their user login account. They also have the option to upload
- images of their item results, see Figure 3(c). Once a participant has completed their experiment and
- reported their results, disposal of items in general waste collection is advised.

## 3 Results

- The data presented and analysed were collected over 24 months from 7<sup>th</sup> November 2019 to 7<sup>th</sup>
- November 2021, during that time 9701 participants from across the UK completed the attitudes
- survey, 1648 of which engaged actively in a home composting experiment, and 902 completed the
- 233 experiment.

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The geographical distribution of these participants is shown in Figure 3(e) showing good coverage across the UK, with the highest proportion in the Midlands and the South of England. This roughly correlates with the distribution of population density in the UK. 91% of these participants indicated that they separate their food waste, this is a higher than the UK average [26] and is an indicator that this sample is not representative of the general population. In answer to the question "Are you more likely to buy products with packaging marked 'compostable' or 'biodegradable'?" 85% answered "yes", 7% answered "no" and 8% answered "don't know". The high proportion of "yes" is another indication that we have biased sample. It is likely that the people attracted to take part were those who are already interested in composting, evidence for this is that 84% of our participants use home composting which compares with a UK national average of 34% [27]. When participants were asked which food waste strategy they use to dispose of biodegradable plastics, if any, 16% answered "using council organic waste collection", 42% answered "using home composting", and 4% answered "using another organic waste strategy".

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The participants use a wide variety of composters ranging from indoor wormery to outdoor trenches, with the most popular (64%) being an outdoor closed-bin composter (see Figure 4 for the full distribution). When asked what they do with their compost 83% replied that they used it to enrich their soil to grow edible plants, fruit and vegetables (see Figure 5). This is important as it indicates that the food chain, albeit a home-grown one, is affected by whatever substances are put in home compost.

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The importance of home composting not just as a means to enrich soil but also as an important site of biodiversity is confirmed in see Figure 6 which shows that 14 categories of organism are visible to the naked eye in the home composters, from worms, to mites, to fungi. It is this ecosystem of organisms that is responsible for biodegrading items put in the composter, including the range of compostable plastics tested in this experiment.

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1648 participants engaged, many of whom recorded what they put into their home composter by uploading a photo of that item to the Big Compost Experiment website (see Figure 3(a-c) for a sample of these images). Despite our best efforts to guide the participants only to compost items marked clearly as 'home compostable', many items that are marked as industrially compostable or

just as biodegradable have been entered into the experiment. Out of a randomised sample of 50 item images analysed 46% show no identifiable certification or standards labelling. 14% show industrial composting certification only, see Figure 7 for full results of labelling identified. This suggests participant confusion surrounding labelling and identification of home compostable plastics.

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> Of the 1648 who undertook a home composting experiment, 902 had finished home compost experiments when we compiled these results, with a total of 1307 item results submitted. Of these 55% of home compost experiment results report the item remains still clearly visible (Level 0-2), 11% of results contain small pieces (<2mm but still visible to participant) and 34% no longer visible / not found (Level 4) within participant home composting timeframes. Figure 8 shows the distribution.

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The highest number of results was reported for 'outdoor closed-bin composter' category. 59% of these results report item remains still clearly visible (Level 0-2), 11% of results contain small pieces (<2mm but still visible to participant), 30% of results report item no longer visible / not found within participant home composting timeframes. Similar distribution of results is seen in three other most frequently used composters 'outdoor open-slatted', 'multi-stage', and 'hot-bin' (see Figure 9).

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The highest number of results was reported for 'newspaper and magazine wraps' category. 58% of these results report items that remain still clearly visible (Level 0-2), 12% of results contain small pieces (<2mm but still visible to participant), 30% of results report item no longer visible / not found within participant home composting timeframes. Similar distribution of results is seen for frequently reported item categories 'caddy and bin bags', 'shopping bags', 'fruit and vegetable films'. See Figure 10 for full distribution.

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Nationally, England and Scotland show similar results despite their different climates (further breakdown per region does not show much difference either); Wales shows improved composting effectiveness overall; and there is very little data for Northern Ireland (see Figure 11).

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The composting time periods (3/6/9/12/15/18/21 months) do not seem to greatly affect the results, indicating perhaps that it's the health and dynamics of an individual's composter that is more important. In general, longer composting times does help. For instance, 71% of experiments using 3 month composting duration report item remains still visible (Level 0-3); 65% of experiment using 6 month composting duration report item remains still visible (Level 0-3); 63% of 9 month composting duration report item remains still visible (Level 0-3); 68% of 12 month composting duration report item remains still visible (Level 0-3); 63% of 15 months composting duration report item remains still visible (Level 0-3) (see Figure 12).

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A range of certification marks relating to home compostability and industrial compostability were identified on home compost experiment items tested. The most common certification mark displayed was TUV Ok Compost Home (47%), followed by European Bioplastics Seedling Logo (26%), and TUV OK Compost Industrial (2%). 46% of items tested could not be verified to have any compostable certification. Figure 13 shows this data. This is evidence that many of the participants were confused about the labelling and what it means. Column 1 in Figure 13 shows the results for items displaying TUV OK Compost Home, 60% of which were reported to have item remains still clearly visible (Level 0-2), 12% of results contain small pieces (<2mm but still visible to participant), 28% of results report item no longer visible / not found within participant home composting timeframes. The results are similar for European Bioplastics Seedling Logo (column 3 in Fig 13).

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313 This shows that the majority of certified home compostable plastic packaging tested in this study did

314 not fully compost.

#### **Discussion** 4

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316 The idea that a material can be sustainable is a widespread misconception. Only a system of 317 production, collection, and reprocessing of a material can be sustainable. The type and amount of 318 energy used to fuel the process, the water usage, and the by-products also contribute to its 319 environmental footprint. This applies to compostable plastics as much as to normal plastics. 320 Although the bio-sources of compostable plastics make this class of material more renewable, the fact that there is no UK-wide system of collection is problematic. Most compostable plastics end up 321 322 in landfill or are burnt. Neither is a good environmental outcome. Some people put compostable 323 plastics in their food waste collection, but this is generally treated as a contaminant and increases the 324 costs of current anaerobic digester systems. Anaerobic digesters are not optimised to degrade 325 biodegradable plastics, which are instead removed and sent to landfill or incinerated [17]. Several 326 studies suggest the persistence of compostable and biodegradable plastics under simulated compost, 327 sea, or soil environment conditions [28-29]. Further field study evidence is needed to conclude the 328 real-life performance of these plastics in the environment. Our evidence reported in this paper shows that citizens don't understand these complexities of compostable or biodegradable packaging and yet 329 330 are enthusiastic about buying them (85% reported doing so). Our data also shows that citizens are 331 confused about what the labels on compostable and biodegradable packaging mean. For example 332 60% of sampled items tested in home composting experiments were not certified home compostable, 333 see Figure 7.

To understand the behaviour of the public buying compostable plastics but not understanding where to dispose of them or how to interpret their environmental credentials, we thematically analysed our survey data using social science analysis methods [30]. The themes of behaviour were categorised as barriers and enablers according to the components of the Capability, Opportunity, Motivation, and Behaviour (COM-B) model of behaviour.

We found that the key enablers that lead people to buying products with biodegradable or compostable plastic packaging are reflective motivation (positive beliefs about biodegradable or compostable packaging environmental impact and the resolve to behave pro-environmentally); physical opportunity (access to composting or compostable materials collection). The key barriers to buying products with biodegradable or compostable packaging are the psychological capability (not understanding terminology used to label packaging, not taking notice of packaging, and preferring other types of packaging and product qualities); reflective motivation (negative beliefs about biodegradable or compostable plastic packaging's environmental impacts and scepticism over decomposition claims), and physical opportunity (no access to composting or compostable materials collection). The analysis shows that labelling interventions are needed improve information about the nature of the compostable material, their environmental impact and how to correctly dispose of them [30].

Our citizen science data also shows that even when citizens identify the correct disposal route, in this case putting a certified home compostable plastic into their home composter, the majority of these plastics still fail to effectively biodegrade see Figure 13. The results for TUV OK Compost Home certified material showed successful composting of only 27% of the packaging tested, with a further 12% having small pieces (judged by the participants to be <2mm in size) but still visible. These small pieces are technically 'microplastics' and are likely to biodegrade fully should they be further exposed to the composting conditions. However this still leaves 61% of the packaging not meeting the expectations of a certified home compostable plastic. This is not a labelling problem but one of materials science. The range of item degradation results shows that the biodegradation process for

home compostable plastics is complex and presents challenges for the regulation and certification of home compostable materials. A significant challenge is the diverse composition and form of biodegradable plastic packaging being marketed as home compostable and thus the complex mix and volume of polymers ending up in home compost. The time, temperature, humidity, pH, biodiversity and the microbacterial diversity within the home composter all play an important role in successful composting. Our data shows that there is weak impact of composting duration (Figure 12), geographical location (Figure 11) or composter type (Figure 9) which leads to our conclusion that the current mix of compostable polymers on the market will not reliably compost in the wide range of conditions found in home composting.

Our data also backs up the assessment by Siracusa [18] that it is difficult to reproduce 'natural experiments' in lab testing environments. The diversity of microbial communities, the catalytic pathways of nutrient transformation, the material chemical differences cannot be fully controlled and reproduced in vitro and hence home composability standards are likely to be unreliable, as Figure 13 shows clearly. The argument that the many citizens do not manage their home composter properly, which is the understandable reaction of many certifying organizations to this data, fails to take into account the real behaviour of normal people, who have a range of abilities in regard to managing composting. This range of abilities is clearly not being captured by the lab tests which are used to certify home compostable plastics otherwise the failure rates of composting in the real world conditions would not be as large (see Figure 13).

We know from our data that the compost produced goes into the food chain of UK citizens. Even if some home compostable plastics are shown to fully compost in all UK home composts, it would be wise to assess the environmental impact of these materials, the inks and glues used, before assessing the environmental impact of home compost to properly dispose of biodegradable plastics.

With all these issues in mind, it is worth asking the question: What economic or environmental problem do biodegradable plastics solve? The bio-source of their carbon moves the packaging sector away from fossil-based plastics which typically do not fit in the framework of sustainable development [31-33]. But this is also true of bioplastic versions of PE, PP, and PET, which are fully recyclable, as well as being compatible with the current collection and sorting systems. Biodegradables are potentially useful for some product types that are not suited to recycling due to contamination such as tea bags, fruit labels, take-away food packaging, nappies, wipes and absorbent hygiene products. These products typically end up in landfill and, if the use of biodegradables were to divert them to industrial composting, then this might lead to a better outcome. We have shown home composting, being uncontrolled, is largely ineffective and would not be a good method of disposal. A separate collection for industrial composting might be the best environmental outcome. Work needs to be done to assess the design of such a system, to ensure that labelling drives correct behaviour and that it could be economically viable.

There is also much materials science to be done in the design of compostable plastics. The requirements are stringent. They need to meet the mechanical, chemical and aesthetic property requirements of packaging applications and able to be stable and inert for long periods of time in warehouses and other storage conditions such in containers at sea. Once disposed of and collected they then need to switch from protective and inert, to being food for microorganisms. At the moment the switch is designed to be triggered through changes in the humidity and temperature but in the future other switches might be programmed into the material making them more robust as packaging material and more predictable as compostable plastics. Such compostable materials that sense their environment, compute a decision about their desired state, and react by chemically or mechanically

transforming are part of the class of animate materials [34]. Applications for such animate compostable materials go beyond packaging to applications such as biodegradable tree guards [35], biodegradable fishing nets [36], and other useful plastic products that by the nature of their application are likely to end up polluting the environment.

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417 We could not have assessed the effectiveness of home composting without using a citizen science 418 approach. By its very nature the data we were trying to obtain was only available from citizens 419 themselves and their back gardens. We believe it is the first data of its kind for compostable plastics. 420 Nevertheless, there are defects with our citizen science experiment methodology that are worth 421 noting. We chose not to be prescriptive about how the experiment was carried out and so relied on 422 citizens being methodical and reliable observers. Participants were asked to identify and select items 423 themselves according to experiment guidelines (i.e. items displaying words 'compostable', 'home 424 biodegradable', 'home compostable', 'suitable for home composting', or TUV OK Compost 'Home' 425 or Din Certco 'Home Compostable' certification marks). Although it is possible some participants 426 might have made a mistake when inputting this information into our website, certification marks 427 were verified from optional uploaded photos and/or from written descriptions submitted by 428 participants. Some of the data classified as scale 4 may not have been fully biodegraded but just lost. 429 Equally participants classification of scale 1 (intact), scale 2 (slight degradation) or scale 3 (heavy 430 degradation) will have been subjective. There is also the potential that manufacturers of compostable 431 packaging may have enrolled on the experiment and biased the results to make their products appear 432 to compost more effectively. The large number of participants mitigates both of these potential 433 problems to some extent and clearly the data does not vindicate any one product, so we consider that 434 these potential biases are unlikely to have been dominant. We also restricted the number of items that 435 participants could add to the composter, but this may not be representative of regular composting habits. In normal conditions composting greater quantities of home compostable packaging could be 436 437 problematic due to their composition: effective composting requires a balance of sources of carbon 438 and nutrients for the microorganisms. The result of using more compostable plastics would most 439 likely have reduced the effectiveness of the composting of compostable plastic, so in this respect the 440 experiment is biased towards better results. We asked participants to put items into a self selected net 441 bag to help locate them at the end of the experiment. Although participants were advised to use a net 442 bag with a loose weave to mitigate any secondary impacts such as slowing disintegration times or 443 reducing contact with surrounding compost, the effect of this is not controlled for or verified. Food is 444 widely reported to biodegrade completely when in net bags. However a slowdown of item disintegration times cannot be excluded. The most important bias of the experiment is likely to be 445 446 due to self-selecting nature of the participants. Of those who signed up, the vast majority already 447 separate their food waste and used a home composter, whereas these are both minority activities at 448 present in the UK. There is no reason to think this bias would make the participant less able to carry 449 out an effective experiment or to report it accurately. Thus, despite the use of hundreds of untrained 450 citizens we have obtained an invaluable and important dataset on the effectiveness of home 451 composting of compostable plastic packaging. Our conclusion is that home composting is not at 452 present a viable, effective or environmentally beneficial waste processing method for compostable or 453 biodegradable plastics in the UK.

## 5 Conflict of interest

454

- The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.
  - 6 Author contributions

- 458 Conceptualisation, D.P, S.M and M.M; methodology, D.P. and M.M.; formal analysis, D.P. and
- 459 A.L.A; writing—original draft preparation, D.P and M.M; writing—review and editing, D.P, A.L.A,
- 460 F.L., S.M and M.M.; project administration, D.P; funding acquisition, M.M. All authors have read
- and agreed to the published version of the manuscript.
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- 468 9 References

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603 604

605 Figure 1.Illustration of the Big Compost Experiment online survey flow.

606

607 Figure 2. Illustration of the Big Compost Experiment home compost experiment guidelines. 608

609

- Figure 3. Panel showing home compost experiment participant photo uploads showing 610 composter type used (A), item tested (B) and result (C), scale of degradation used for results
- 611 comparison (D) and UK home compost experiment participation map (E).

612

- 613 Figure 4. Chart showing the frequency and types of composter used by survey participants.
- 614 Values calculated from 7413 participants who home compost. Multiple responses included.

615 616

Figure 5. Chart showing the range of compost applications used by survey participants. Values calculated from 7413 participants who home compost. Multiple responses included.

617 618

- 619 Figure 6. Chart showing the range of organisms observed in participant composters. Values
- 620 calculated from 7413 participants who home compost. Multiple responses included.

621

622 Figure 7. Chart showing the frequency of tested items displaying identifiable certification or 623 standards for compostability. Values calculated from a randomised sample of 50 item images 624 submitted.

625

626 Figure 8. Chart showing home compost experiment item degradation level results. Values 627 calculated from 1307 item results submitted.

628

629 Figure 9. Chart showing home compost experiment item degradation level results according to 630 composter type. Values calculated from 1307 item results submitted.

631

632 Figure 10. Chart showing home compost experiment item degradation level results according to 633 item type. Values calculated from 1307 item results submitted.

634

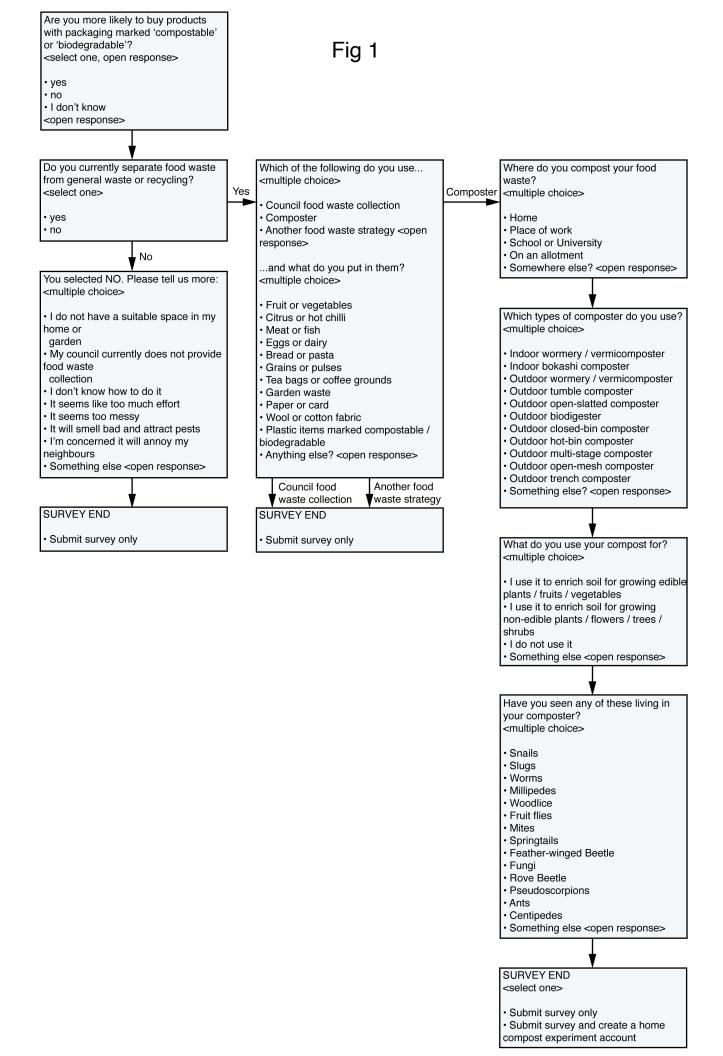
635 Figure 11. Chart showing home compost experiment item degradation level according to UK 636 region. Values calculated from 1307 item results submitted.

637

638 Figure 12. Chart showing home compost experiment item degradation level according to 639 composting duration. Values calculated from 1307 item results submitted.

# **Running Title**

641 642	Figure 13. Chart showing home compost experiment item degradation level according to displayed compost certification. Values calculated from 1307 item results submitted. Items
643	displaying multiple certification marks included.
644	
645	
646	
647	



## Fig 2

# Experiment Guidelines (www.bigcompostexperiment.org.uk)

## 1. Choose experiment items

• Participants select items that display the following manufacturer information:

'compostable' (only)
'home biodegradable'
'home compostable'
'suitable for home composting'
TUV OK Compost 'HOME' certification mark
Din Certco 'HOME COMPOSTABLE' certification
mark

• Particpants are asked to ensure they use identical types/brands if testing multiple items together.





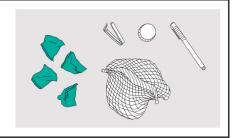






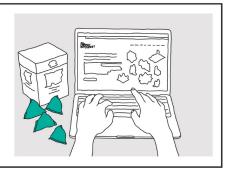
## 2. Prepare experiment equipment

- Participants are asked to use a plastic net bag (i.e. supermarket fruit bag) to compost items in.
- Participants are asked to use plastic milk bottle cap (or similar) and permanent marker pen to identify items in their bag.



### 3. Create a login account

- Participants create a login account and share details about composter type, location, method and duration.
- Participants choose their experiment duration based on their usual composting timeframe.
- Participants can choose to upload photographs of their items, composter and experiment equipment to the website.



## 4. Start your experiment

- Participants add net bag containing experiment items and identifying markers to their composter and carry on composting as usual.
- Participants receive an email reminder to look for their net bag and items when their experiment is due to finish.



## 5. Submit your results

- Participants use a trowel or spade to look for net bag containing traces of experiment items and compare their item remains with the website 'Scale of Degradation'.
- Participants share their results and other observations via their account and can choose to upload a photo of their results.
- Once their experiment is finished participants are asked to dispose of any item remains in general waste and check locally for recylability of other experiment equipment used.









Fig 3

ITEM APPEARS
INTACT. NO
DISCOLOURATION.

ITEM IS LARGELY INTACT. LIGHT DISCOLOURATION.

2

ITEM IS BROKEN
INTO LARGE PIECES
(LARGER THAN 2MM).
HEAVY
DISCOLOURATION.



3

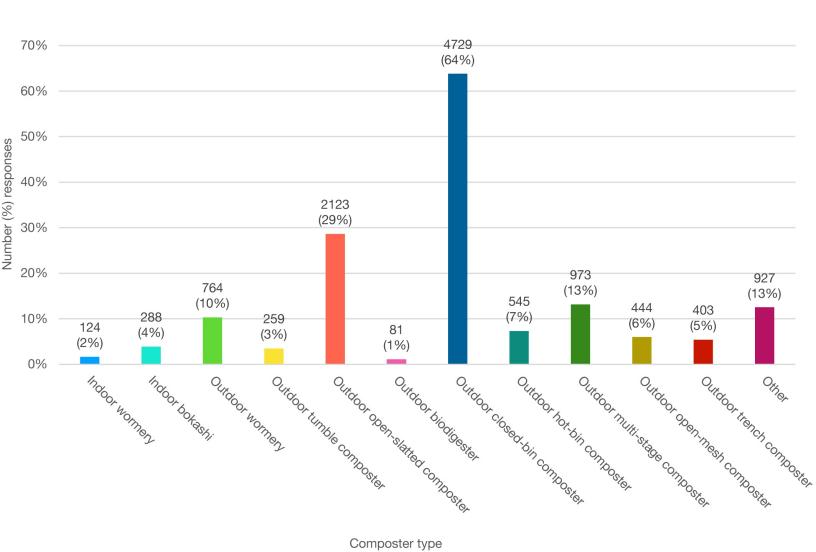
ITEM IS BROKEN INTO SMALL PIECES (SMALLER THAN 2MM).

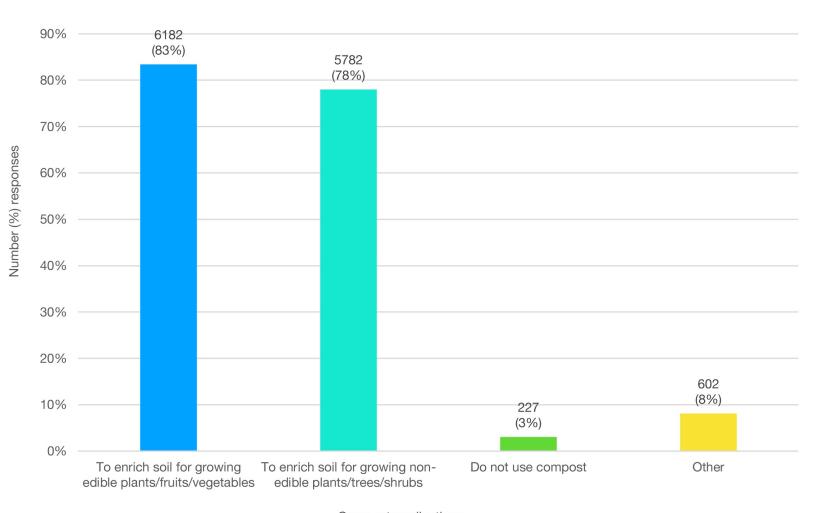
4

NO VISIBLE TRACE OF ITEM LEFT.

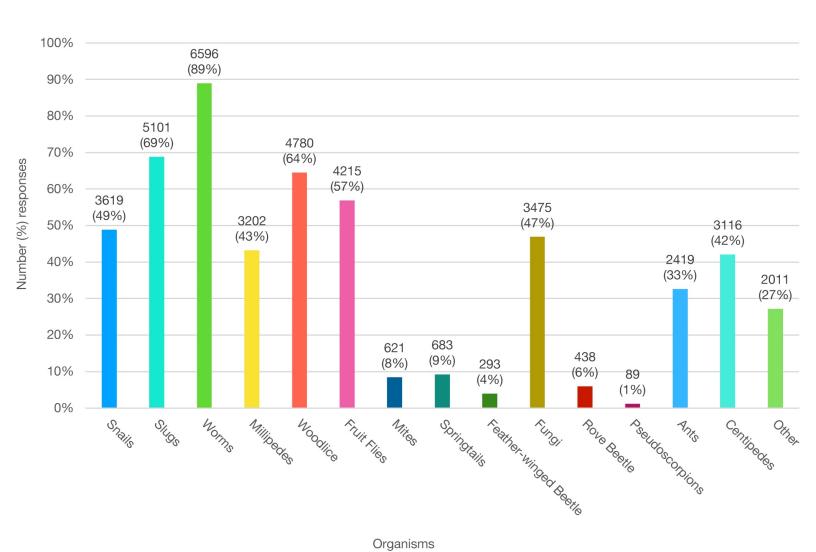
(D)

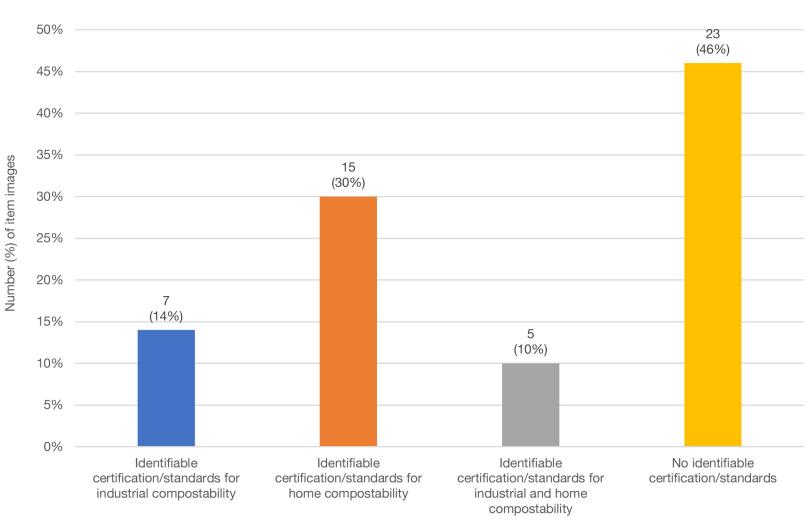




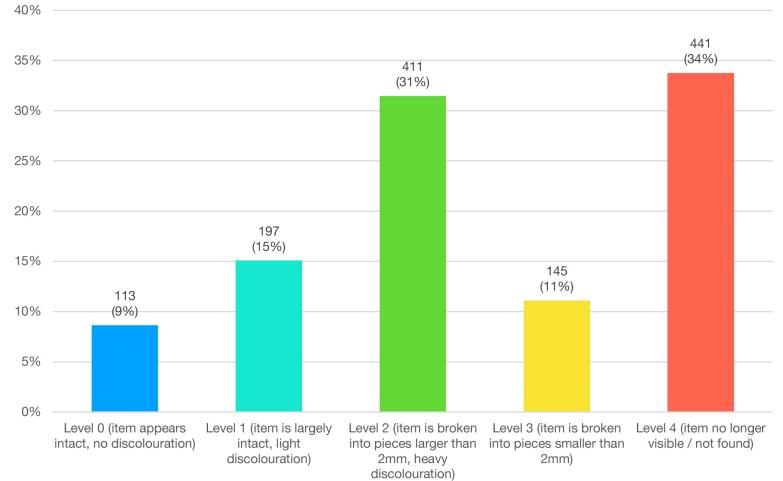


Compost applications



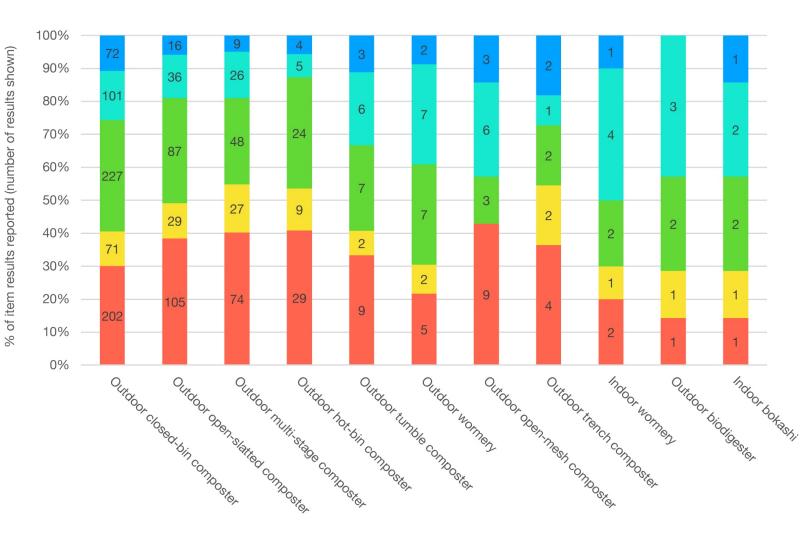


Certification/standard identified



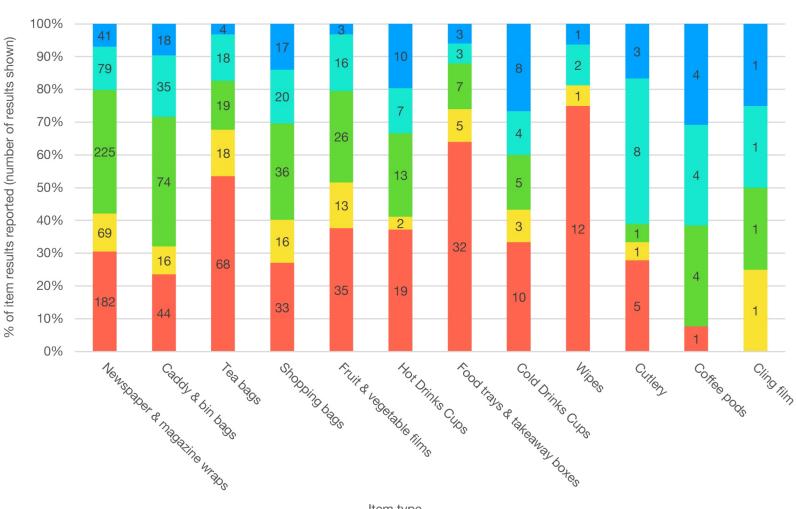
Item degradation level reported

- Level 0 (item appears intact, no discolouration
- Level 1 (item is largely intact, light discolouration
- Level 2 (item is broken into pieces larger than 2mm, heavy discolouration)
- Level 3 (item is broken into pieces smaller than 2mm)
- Level 4 (item no longer visible / not found)



Composter type

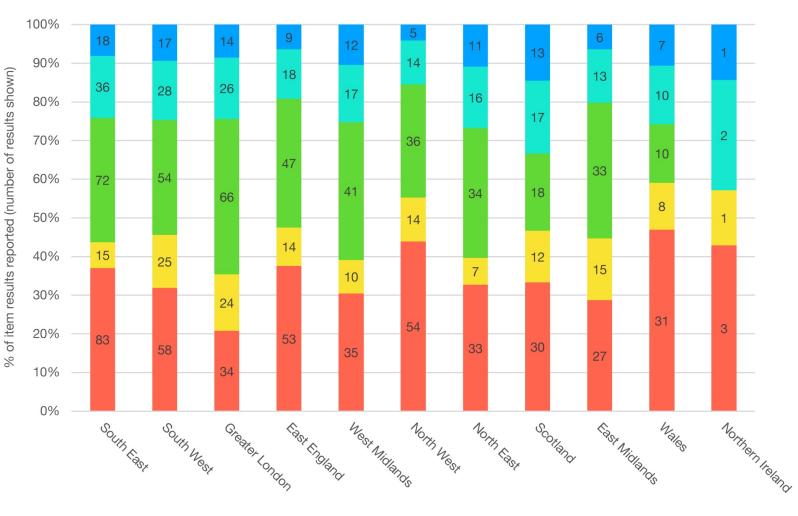
- Level 0 (item appears intact, no discolouration
- Level 1 (item is largely intact, light discolouration
- Level 2 (item is broken into pieces larger than 2mm, heavy discolouration)
- Level 3 (item is broken into pieces smaller than 2mm)
- Level 4 (item no longer visible / not found)



Item type

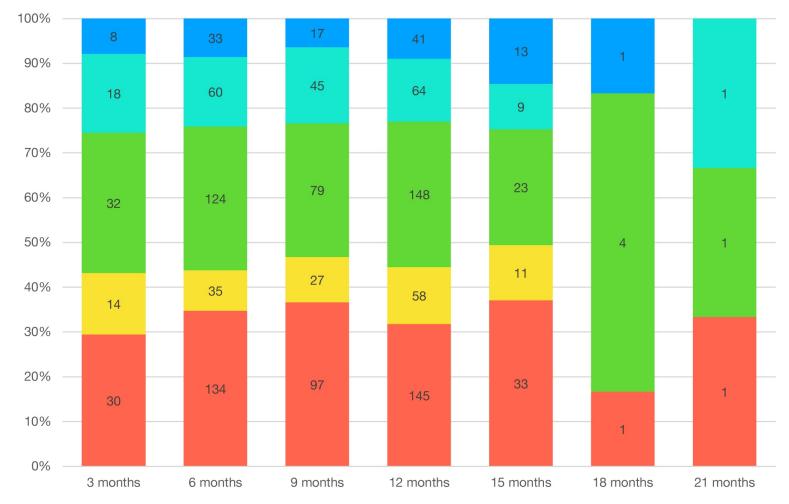
- Level 0 (item appears intact, no discolouration
- Level 1 (item is largely intact, light discolouration
- Level 2 (item is broken into pieces larger than 2mm, heavy discolouration)
- Level 3 (item is broken into pieces smaller than 2mm)
- Level 4 (item no longer visible / not found)

Fig 11



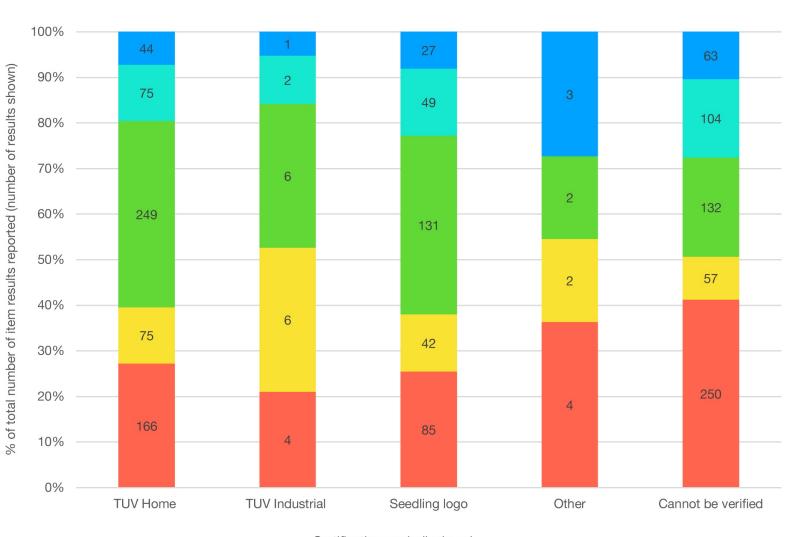
UK region

- Level 0 (item appears intact, no discolouration
- Level 1 (item is largely intact, light discolouration
- Level 2 (item is broken into pieces larger than 2mm, heavy discolouration)
- Level 3 (item is broken into pieces smaller than 2mm)
- Level 4 (item no longer visible / not found)



Composting duration

- Level 0 (item appears intact, no discolouration
- Level 1 (item is largely intact, light discolouration
- Level 2 (item is broken into pieces larger than 2mm, heavy discolouration)
- Level 3 (item is broken into pieces smaller than 2mm)
- Level 4 (item no longer visible / not found)



Certification mark displayed

- Level 0 (item appears intact, no discolouration
- Level 1 (item is largely intact, light discolouration
- Level 2 (item is broken into pieces larger than 2mm, heavy discolouration)
- Level 3 (item is broken into pieces smaller than 2mm)
- Level 4 (item no longer visible / not found)